

10/31/01  
jc962 U.S. PTO

12-05-01

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Practitioner's Docket No. RPS920010068US1

PATENT

10/31/01  
10/002752  
U.S. PTO

10/31/01  
Barcode

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Box Patent Application**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventor(s): Richard H. Harris

For (title): **SECURE SMART CARD**

**1. Type of Application**

This transmittal is for an original (nonprovisional) application.

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**CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\***

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.  
**37 C.F.R. Section 1.8(a)**

**37 C.F.R. Section 1.10\***

with sufficient postage as first class mail.

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**TRANSMISSION**

transmitted by facsimile to the Patent and Trademark Office (703) \_\_\_\_\_

Date: 10-31-2001

Amirah Scarborough  
Signature

Amirah Scarborough  
(type or print name of person certifying)

**2. Papers Enclosed**

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153  
(Design) Application

8 Page(s) of Specification

7 Page(s) of Claims

3 Sheet(s) of Drawing(s)--Formal

**B. Other Papers Enclosed**

2 Page(s) of declaration and power of attorney

1 Page(s) of abstract

**3. Additional Papers Enclosed**

Information Disclosure Statement (37 C.F.R. 1.98)

Form PTO-1449 (PTO/SB/08A and 08B)

**4. Assignment**

An assignment of the invention to **International Business Machines Corporation; Armonk, NY** is attached. A separate "RECORDATION COVER SHEET" is also attached.

**5. Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

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CLAIMS AS FILED

Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$740.00
<b>Total Claims</b>	<b>16</b>	<b>20</b>	<b>0</b>	<b>\$18.00</b>	<b>\$0.00</b>
<b>Independent Claims</b>	<b>6</b>	<b>3</b>	<b>3</b>	<b>\$84.00</b>	<b>\$252.00</b>
<b>Multiple Dependent Claims</b>					<b>\$0.00</b>
<b>Filing Fee Calculation</b>					<b>\$992.00</b>

**6. Fee Payment Being Made at This Time**

Enclosed

**Filing Fee** \$992.00

Recording assignment (\$40; 37 C.F.R. Section 1.21(h)) See attached, "RECORDATION COVER SHEET") \$40.00

**Total Fees Enclosed** \$1,032.00

**7. Method of Payment of Fees**

Charge Account No. 50-0563 in the amount of \$1,032.00.  
A duplicate of this transmittal is attached.

**8. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 50-0563.

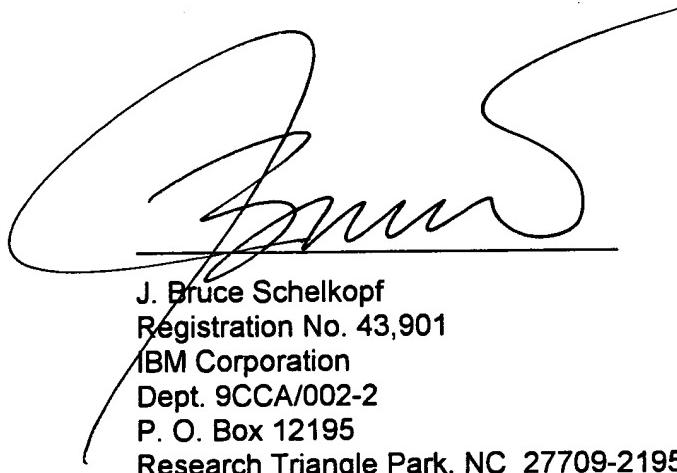
37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

**9. Instructions as to Overpayment**

Credit Account No. 50-0563.

Date: 18/31/01



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